

**TRANSMITTAL  
FORM**

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Total Number of Pages in This Submission

13

Application Number

09/993,877

Filing Date

November 5, 2001

First Named Inventor

Hakan Ozdemir

Art Unit

2627

Examiner Name

Dismery E. Mercedes

Attorney Docket Number

99-S-190 (1678-022-01)

**ENCLOSURES (check all that apply)**☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Reply to Missing Parts/  
Incomplete Application☐ Reply to Missing Parts  
under 37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation  
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) \_\_\_\_\_☐ Landscape Table on CD☐ After Allowance Communication to TC☐ Appeal Communication to Board  
of Appeals and Interferences☐ Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)  
(please identify below):

PTO Form SB/08a and 8b

Copy of non-patent literature document

Return receipt postcard

**Remarks****SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm

Graybeal Jackson Haley, LLP

Signature

Printed Name

J. Mark Han

Date

July 2, 2007

Reg.  
No.

57,898

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature

Typed or printed name

Cindy Hawk

Date

July 2, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Hakan Ozdemir

Serial No.: 09/993,877

Filing Date: November 5, 2001

Title: **DATA-STORAGE DISK HAVING FEW OR NO SPIN-UP  
WEDGES AND METHOD FOR WRITING SERVO WEDGES  
ONTO THE DISK**

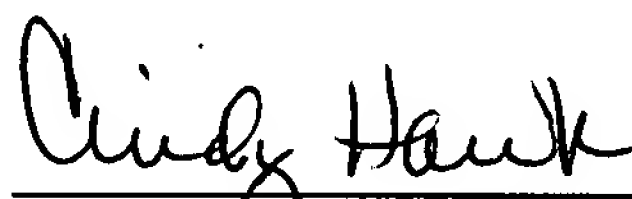
Attorney Docket No.: 99-S-190 (1678-022-01)

**CERTIFICATE OF MAILING**

I hereby certify that this communication, and any document being attached hereto, are being deposited with the U.S. Postal Service with sufficient postage as First Class Mail addressed to:

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on this 2<sup>nd</sup> day of July, 2007.

  
Cindy Hawk

**RULE 56 INFORMATION DISCLOSURE AND  
ACCOMPANYING SECTION 1.97(e) CERTIFICATION**

TO COMMISSIONER FOR PATENTS:

In compliance with 37 CFR § 1.56 and § 1.97, Applicants, acting by and through the attorney of record, hereby disclose patents and other documents listed on the attached Form PTO/SB/08a and 08b which may be considered relevant to the

examination of the subject application.

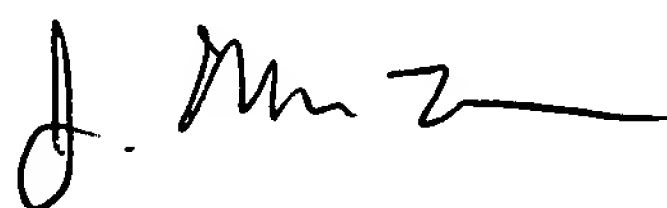
A copy of the non-patent literature document is enclosed. Copies of U.S. patent and patent application documents cited in the attached form PTO SB-8a are not attached. However, the noted patents are U.S counterparts to foreign references cited by the European Search Report, i.e. EP 1 274 071; EP 1 271 478; EP1 271 506; and EP 1 271 507. It is submitted that the foreign references are merely cumulative of the U.S. citations. Nevertheless, applicants wish to disclose these relationships as part of their duty of candor.

**Certification Under Section 1.97(e)**

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this statement.

Respectfully submitted,

GRAYBEAL JACKSON HALEY LLP



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**Complete if Known**

**(Use as many sheets as necessary)**

1

2

99-S-190 (1678-022-01)

[illegible][illegible]

Date Considered

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Substitute for form 1449B/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	
				09/993,986	
				Filing Date	
				November 5, 2001	
				First Named Inventor	
				Fereidoon Heydari	
				Art Unit	
				2627	
				Examiner Name	
				Dismery E. Mercedes	
Sheet 2 of 2				Attorney Docket Number	
				01-S-045 (1678-047-03)	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C1	Partial European Search Report, from European Application No. EP 02 25 3868, dated April 2, 2007	N
	C2		N

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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